

FORM PTO-1449

MAY 09 2000

Atty. Docket No.:
1001.1375101Serial No.:
09/498,104

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Paul M. Scopton

Filing Date:

February 4, 2000

Group Art:

3731

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|-----------------|----------|---------------------|-------|-----------|----------------------------|
| AA | RE 31,855 | 03/26/85 | Osborne | 604 | 161 | |
| AB | 1,204,053 | 11/07/16 | Moore | | | |
| AC | 2,623,520 | 12/30/52 | Bamford, Jr. et al. | 128 | 221 | |
| AD | 3,015,869 | 01/09/62 | Rapata | 24 | 213 | |
| AE | 3,536,281 | 10/27/70 | Meehan et al. | 248 | 73 | |
| AF | 4,345,606 | 08/24/82 | Littleford | 128 | 784 | |
| AG | 4,696,668 | 09/29/87 | Wilcox | 604 | 28 | |
| AH | 4,748,982 | 06/07/88 | Horzewski et al. | 128 | 344 | |
| AI | 4,762,129 | 08/09/88 | Bonzel | 128 | 344 | |
| AJ | B1 4,762,129 | 07/02/91 | Bonzel | 606 | 194 | |
| AK | 4,771,777 | 09/20/88 | Horzewski et al. | 128 | 344 | |
| AL | 4,781,677 | 11/01/88 | Wilcox | 604 | 28 | |
| AM | 4,835,824 | 06/06/89 | Durham et al. | 24 | 339 | |
| AN | 4,844,092 | 07/04/89 | Rydell et al. | 128 | 772 | |
| AO | 4,900,184 | 02/13/90 | Cleveland | 403 | 397 | |
| AP | 4,905,667 | 03/06/90 | Foerster et al. | 128 | 4 | |
| AQ | 4,917,103 | 04/17/90 | Gambale et al. | 128 | 772 | |
| AR | 4,927,418 | 05/22/90 | Dake et al. | 604 | 264 | |
| AS | 4,928,693 | 05/29/90 | Goodin et al. | 128 | 637 | |
| AT | 4,932,413 | 06/12/90 | Shockey et al. | 128 | 657 | |
| AU | 4,946,443 | 08/07/90 | Hauser et al. | 604 | 165 | |
| AV | 4,983,168 | 01/08/91 | Moorehead | 604 | 161 | |
| AW | 4,988,356 | 01/29/91 | Crittenden et al. | 606 | 192 | |
| AX | 4,997,421 | 03/05/91 | Palsrok et al. | 604 | 174 | |
| AY | 5,040,548 | 08/20/91 | Yock | 128 | 898 | |
| AZ | 5,061,273 | 10/29/91 | Yock | 606 | 194 | |

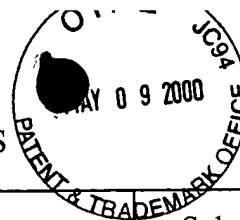
RECEIVED

MAY 18 2000

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|----------|----------------------|-------|-----------|----------------------------|
| BA | 5,064,414 | 11/12/91 | Revane | 604 | 165 | |
| BB | 5,125,915 | 06/30/92 | Berry et al. | 604 | 283 | |
| BC | 5,135,535 | 08/04/92 | Kramer | 606 | 194 | |
| BD | 5,147,377 | 09/15/92 | Sahota | 606 | 194 | |
| BE | 5,167,634 | 12/01/92 | Corrigan, Jr. et al. | 604 | 160 | |
| BF | 5,180,367 | 01/19/93 | Kontos et al. | 604 | 101 | |
| BG | 5,195,978 | 03/23/93 | Schiffer | 604 | 161 | |
| BH | 5,205,822 | 04/27/93 | Johnson et al. | 604 | 96 | |
| BI | 5,232,445 | 08/03/93 | Bonzel | 604 | 96 | |
| BJ | 5,248,306 | 09/28/93 | Clark et al. | 604 | 283 | |
| BK | 5,250,033 | 10/05/93 | Evans et al. | 604 | 160 | |
| BL | 5,263,932 | 11/23/93 | Jang | 604 | 96 | |
| BM | 5,267,958 | 12/07/93 | Buchbinder et al. | 604 | 96 | |
| BN | 5,279,562 | 01/18/94 | Sirhan et al. | 604 | 96 | |
| BO | 5,281,203 | 01/25/94 | Ressemann | 604 | 164 | |
| BP | 5,282,479 | 02/01/94 | Havran | 128 | 772 | |
| BQ | 5,290,232 | 03/01/94 | Johnson et al. | 604 | 96 | |
| BR | 5,290,241 | 03/01/94 | Kraus et al. | 604 | 161 | |
| BS | 5,300,085 | 04/05/94 | Yock | 606 | 191 | |
| BT | 5,306,247 | 04/26/94 | Pfenninger | 604 | 96 | |
| BU | 5,308,318 | 05/03/94 | Plassche, Jr. | 604 | 54 | |
| BV | 5,320,602 | 06/14/94 | Karpiel | 604 | 54 | |
| BW | 5,324,269 | 06/28/94 | Miraki | 604 | 160 | |
| BX | 5,334,143 | 08/02/94 | Carroll | 604 | 54 | |
| BY | 5,334,147 | 08/02/94 | Johnson | 604 | 96 | |
| BZ | 5,334,187 | 08/02/94 | Fischell et al. | 604 | 194 | |
| CA | 5,336,184 | 08/09/94 | Teirstein | 604 | 102 | |
| CB | 5,342,297 | 08/30/94 | Jang | 604 | 53 | |
| CC | 5,350,395 | 09/27/94 | Yock | 606 | 194 | |
| CD | 5,357,978 | 10/25/94 | Turk | 128 | 772 | |
| CE | 5,364,355 | 11/15/94 | Alden et al. | 604 | 96 | |
| CF | 5,364,376 | 11/15/94 | Horzewski et al. | 604 | 280 | |
| CG | 5,370,623 | 12/06/94 | Kreamer | 604 | 165 | |
| CH | 5,380,283 | 01/10/95 | Johnson | 604 | 96 | |

[illegible]

FOREIGN PATENT DOCUMENTS



| | | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|----|-----------------|----------|---------|-------|-----------|-----------------------|
| DJ | WY | DE 41 15 007 A1 | 11/12/92 | Germany | | | YES |
| DK | | EP 0 388 112 A2 | 09/19/90 | EPO | | | |
| DL | | EP 0 792 657 A2 | 09/03/97 | EPO | | | |
| DM | | EP 0 801 955 B1 | 10/22/97 | EPO | | | |
| DN | | WO 96/33764 | 10/31/96 | PCT | | | |
| DO | | WO 98/10821 | 03/19/98 | PCT | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|----|----|---|
| DP | WY | Knecht, Gregory L., M.D. et al., "Double-Channel Fistulotome For Endoscopic Drainage of Pancreatic Pseudocyst", <u>Gastrointestinal Endoscopy</u> , Vol. 37, No. 3, May/June 1991, pp. 356-357. |
| DQ | L | Siegel, Jerome H., M.D. et al., "Two New Methods For Selective Bile Duct Cannulation and Sphincterotomy", <u>Gastrointestinal Endoscopy</u> , Vol. 33, No. 6, December 1987, pp.438-440. |
| | | |

EXAMINER:

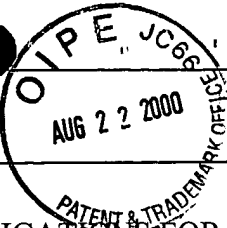
WY

DATE CONSIDERED:

6/24/02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

Atty. Docket No.:
1001.1375101Serial No.:
09/498,104LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Paul M. Scopton

Filing Date

February 4, 2000

Group Art:

3731
3283

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---------------------|--------------|----------|--------------------|-------|--------------|-------------------------------|
| AA <i>mq</i> | 5,324,259 | 06/28/94 | Taylor et al. | 604 | 96 | |
| AB | 5,531,700 | 07/02/96 | Moore et al. | 604 | 164 | |
| AC | 5,833,706 | 11/10/98 | St. Germain et al. | 606 | 194 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

RECEIVED
AUG 24 2000
TECHNOLOGY CENTER 3700

FOREIGN PATENT DOCUMENTS

| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|--------------|--------------|----------|---------|-------|--------------|-----------------------|
| AD <i>mq</i> | WO 92/03963 | 03/19/92 | PCT | | | |
| AE | WO 98/10820 | 03/19/98 | PCT | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|--|--|
| | | |
| | | |
| | | |

EXAMINER: *Metolup*

DATE CONSIDERED:

6/25/02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

DEC 20 2000

Atty. Docket No.:
1001.1375101Serial No.:
09/498,104LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Paul M. Scopton

Filing Date

February 4, 2000

Group Art:

3731 7717

U.S. PATENT DOCUMENTS

6

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---------------------|--------------|----------|-------------------|-------|--------------|-------------------------------|
| AA | 6,007,522 | 12/28/99 | Agro et al. | 604 | 264 | |
| AB | 6,096,009 | 08/01/00 | Windheuser et al. | 604 | 165 | |
| AC | 6,152,910 | 11/28/00 | Agro et al. | 604 | 523 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

RECEIVED
DEC 26 2000
10-31 PM MAIL ROOM

FOREIGN PATENT DOCUMENTS

| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|-----------------|----------|---------|-------|--------------|-----------------------|
| AD | EP 0 328 760 A2 | 08/23/89 | EPO | | | Yes |
| AE | WO 99/59664 | 11/25/99 | PCT | | | |
| AF | WO 00/64900 | 11/23/00 | PCT | | | |
| AG | WO 00/64500 | 11/23/00 | PCT | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|--|--|
| | |
| | |
| | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.